Wastewater/ Stormwater Planning Principal Forgiveness

The NHDES Clean Water State Revolving Fund (CWSRF) loan program is offering up to $75,000 in principal forgiveness for wastewater and/or stormwater planning evaluations.

Eligible planning efforts will have a vision of implementing construction and/or operations actions that have a tangible water quality benefit.

CWSRF is a federal-state partnership that provides communities with low-cost financing (loans) for a wide range of water quality infrastructure projects. Once all eligible project expenses are incurred, and subsequently disbursed, the loan is closed and principal forgiveness is then applied to the principal balance.

Communities must receive authority to borrow for the entire loan amount through a town warrant article or city equivalent process, even if the full principal amount is forgiven. The CWSRF loan amount may be greater than $75,000, depending upon the estimated cost for the project, but only $75,000 per project will be forgiven.

To maintain eligibility for CWSRF principal forgiveness NHDES must approve the scope of work and disbursements. Prior to review of the full loan application, applicants will submit scope of work proposals related to planning evaluations for approval by Watershed Assistance Section for stormwater projects or Wastewater Engineering Bureau Design Review Section for wastewater projects. Upon receipt of approval, the Grants Management Section will assist with loan processing and disbursements.

Eligible Projects

There are many eligible activities that fall within the scope of planning. Planning activities that have a reasonable prospect of resulting in a capital project are eligible. Principal forgiveness is offered only to municipalities.

The following activities are eligible, pending NHDES approval:

- Cost and Effectiveness analyses.
• Capital improvement plans.
• Integrated planning.
• Long-term control plans.
• Energy audit implementation plans.
• Wastewater and stormwater management plans.
• Facility plans.
• Planning activities that assess a POTW’s vulnerability to extreme weather and climate change:
  o Risk/vulnerability assessments.
  o Emergency preparedness, response, and recovery plans, security plans/safety plans.
  o Water conservation/Drought management plans.
  o Climate adaptation plans.
• Environmental management systems.
• Watershed management plans, must be consistent with EPA Watershed Plan Elements a-i.
• Total Maximum Daily Load (TMDL) implementation plans.
• Assessment of project effectiveness:
  o Equipment (e.g., sensors, meters, gauges, hardware and software used to store and interpret data).
  o Activities (e.g., sampling, lab work, data analysis).

Minimum Requirements

To maintain principal forgiveness eligibility, all eligible planning projects must meet the following requirements.

All Planning Projects

The scope of work must include elements addressed in one or more of the following templates:

• Watershed Management ("a-i") plan: https://www.des.nh.gov/organization/divisions/water/wmb/was/documents/wmp_dvlp_guidance.pdf
• MS4 compliance: https://www.epa.gov/npdes-permits/new-hampshire-small-ms4-general-permit
• Comprehensive Conservation and Management Plan:  
  http://scholars.unh.edu/cgi/viewcontent.cgi?article=1024&context=prep
• Basis of Design Reports outlined in Env-Wq 707:  

In addition, the scope of work must include all of the following elements:

• Vision statement or summary of project goals. This should include the vision of the planning project and the overarching project/goal that will result in tangible water quality benefits that are measureable.
• Breakdown of tasks with clearly defined roles.
• Three meetings that include NHDES attendance: kick-off, mid-level and wrap-up.
• Draft reports and documents will be provided to NHDES for review and comment.
• Final documents will be provided to NHDES in electronic form.

_Eligibility of Costs:_

Loan disbursements directly related to planning are eligible for principal forgiveness, subject to prior approval by NHDES. Expenses must meet the documentation requirements of the CWSRF program. Typical expenses that may be incurred include but are not limited to:

• Equipment purchases/rental such as GPS and tablets.
• Consulting fees*.

*If you wish to hire a consultant/engineer they must be chosen using Qualifications Based Selection (QBS) procedures and use the standard state engineering contract available on the NHDES website at:  

_NHDES Review and Approval_

Work scopes and contracts need to be pre-approved by NHDES prior to entering into a CWSRF loan AND prior to initiating work.

_Deliverables and NHDES Oversight_

To maintain eligibility for CWSRF principal forgiveness, NHDES must attend the kick-off, mid-level and wrap-up meetings and be notified in advance of other meetings and
trainings relative to the project. NHDES also requires a report to be developed as a deliverable for a planning loan.

**Questions/Contact Information**

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For stormwater projects/questions/approvals, contact Deborah Loiselle at
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